

MONTHLY GROSS AND NET TAX COLLECTIONS

June 2011

(in thousands)

State Taxes	June 2011			Fiscal Year 2011-12		
	Gross	Refunds	Net	Gross	Refunds	Net
Personal Income Tax	\$4,168,437	\$165,616	\$4,002,821	\$14,682,892	\$3,713,400	\$10,969,492
Total Gross Collections	\$4,168,437	---	4,168,437	14,682,892	---	14,682,892
Less: Refunds and Minor Offsets	---	168,347	168,347	---	3,657,251	3,657,251
Less: State/City Offsets	---	-2,731	-2,731	---	56,149	56,149
Net Collections	---	---	4,002,821	---	---	10,969,492
User Taxes 1/	\$1,375,485	\$14,971	\$1,360,514	\$3,463,144	\$37,878	\$3,425,266
Sales & Use 2/	1,138,879	11,563	1,127,316	2,817,411	29,154	2,788,257
Motor Fuel	44,973	2,284	42,689	123,189	6,758	116,431
Cigarette/Tobacco Products 3/	149,390	947	148,443	418,707	1,482	417,225
Alcoholic Beverage	18,998	0	18,998	56,089	0	56,089
Highway/Fuel Use	8,829	177	8,652	33,297	484	32,813
Auto Rental	14,416	0	14,416	14,451	0	14,451
Business Taxes	\$1,417,081	\$90,465	\$1,326,616	\$1,963,625	\$287,269	\$1,676,356
Corporation Franchise	619,556	78,535	541,021	963,900	261,997	701,903
Corporation & Utilities 4/	154,055	6,681	147,374	150,779	11,196	139,583
Banks	263,820	352	263,468	287,241	-2,422	289,663
Insurance (Article 33)	280,469	1,441	279,028	285,890	6,204	279,686
Direct Writings	479	9	470	2,097	108	1,989
Petroleum 5/	98,702	3,447	95,255	273,718	10,186	263,532
Property Transfer Taxes 6/	\$118,756	\$6,608	\$112,148	\$429,276	\$22,908	\$406,368
Estate	79,588	6,605	72,983	292,550	22,822	269,728
Real Estate Transfer	39,165	1	39,164	136,692	83	136,609
Other Revenues 7/	\$35,439	\$24	\$35,415	\$39,882	\$381	\$39,501
Pari-Mutuel (w/o Uncashed Tickets)	1,775	0	1,775	3,900	0	3,900
Hazardous Waste Assessments	59	0	59	466	0	466
Waste Tire Management and Recycling Fees	4,519	22	4,497	6,229	28	6,201
Returnable Container Deposits	29,054	1	29,053	29,464	352	29,112
Tax Return Preparer Registration Fees	2	1	1	-267	1	-268
Racing Admissions	3	0	3	6	0	6
Boxing and Wrestling Exhibitions	26	0	26	67	0	67
Total - State Taxes and Fees 1/, 6/	\$7,115,198	\$277,684	\$6,837,514	\$20,578,819	\$4,061,836	\$16,516,983

(in thousands)

Local Taxes	June 2011			Fiscal Year 2011-12		
	Gross	Refunds	Net	Gross	Refunds	Net
M.T.A. Corporate Surcharge	\$195,294	\$5,195	\$190,099	\$251,562	\$15,489	\$236,073
M.T.A. Auto Rental	8,560	0	8,560	8,572	0	8,572
M.C.T. Mobility	87,103	909	86,194	349,588	6,630	342,958
M.C.T.D. Medallion Taxicab Rides	376	3	373	21,408	9	21,399
New York City Alcoholic Beverage	1,978	0	1,978	6,042	0	6,042
New York City Motor Fuel	0	0	0	0	0	0
New York City Personal Income - Net (Adjusted)	774,840	27,484	747,356	2,893,642	646,595	2,247,047
Total Gross Collections	777,547	---	777,547	2,837,837	---	2,837,837
Less: Refunds and Minor Offsets	---	27,484	27,484	---	646,595	646,595
Plus: State/City Offsets	-2,707	---	-2,707	55,805	---	55,805
Sales & Use	1,405,156	13,879	1,391,277	3,422,713	34,996	3,387,717
Stock Transfer (to NYC; all eligible for rebate)	3,001,966	0	3,001,966	3,002,089	0	3,002,089
Yonkers Personal Income - Net (Adjusted)	3,351	119	3,232	10,455	3,075	7,380
Total Gross Collections	3,375	---	3,375	10,111	---	10,111
Less: Refunds and Minor Offsets	---	119	119	---	3,075	3,075
Plus: State/City Offsets	-24	---	-24	344	---	344
Total-Local Taxes	\$5,478,624	\$47,589	\$5,431,035	\$9,966,071	\$706,794	\$9,259,277
Total State & Local Taxes and Fees 1/	\$12,593,822	\$325,273	\$12,268,549	\$30,544,890	\$4,768,630	\$25,776,260

1/ Includes:

	June 2011	Fiscal Year 2011-12
Hotel/Motel Occupancy (repealed 9/1/94)	\$0	\$0
Containers (repealed 10/1/1998)	0	0

2/ Includes tax on informational and entertainment services (900 numbers):

	June 2011	Fiscal Year 2011-12
	\$144	\$144

3/ Includes Cigarette License and Sticker Fees.

4/ Includes section 186-F public safety communications surcharge:

	June 2011	Fiscal Year 2011-12
	\$49,480	\$49,480

5/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

6/ Includes:

	June 2011	Fiscal Year 2011-12
Real Property Gains (repealed 6/15/1996)	\$2	\$2
Gift (repealed 1/1/2000)	-1	29

7/ Includes:

	June 2011	Fiscal Year 2011-12
Wireless Communication Services Surcharges, County Law (repealed 11/1/2009)	\$0	\$0
OGS Procurement Fees (repealed 6/22/1010)	1	17

MONTHLY COMPARISON OF NET COLLECTIONS

June 2011

(in thousands)

State Taxes	Net Collections		Difference	
	June 2010	June 2011	Amount	Percent
Personal Income Tax 1/	\$3,548,402	\$4,002,821	\$454,419	12.8
User Taxes 2/	\$1,299,440	\$1,360,514	\$61,074	4.7
Sales and Use 3/	1,075,867	1,127,316	51,449	4.8
Motor Fuel	38,621	42,689	4,068	10.5
Cigarette/Tobacco Products 1/	140,447	148,443	7,996	5.7
Alcoholic Beverage	20,230	18,998	-1,232	-6.1
Highway/Fuel Use 1/	11,219	8,652	-2,567	-22.9
Auto Rental	13,056	14,416	1,360	10.4
Business Taxes	\$1,073,174	\$1,326,616	\$253,442	23.6
Corporation Franchise	434,822	541,021	106,199	24.4
Corporation & Utilities 1/	149,033	147,374	-1,659	-1.1
Banks	183,312	263,468	80,156	43.7
Insurance (Article 33)	212,541	279,028	66,487	31.3
Direct Writings	1,660	470	-1,190	-71.7
Petroleum 1/, 4/	91,806	95,255	3,449	3.8
Property Transfer Taxes 5/	\$151,929	\$112,148	-\$39,781	-26.2
Estate	100,729	72,983	-27,746	-27.5
Real Estate Transfer	51,108	39,164	-11,944	-23.4
Other Revenues 6/	\$31,091	\$35,415	\$4,324	13.9
Pari-Mutuel	1,845	1,775	-70	-3.8
Hazardous Waste Assessments	68	59	-9	-13.2
Waste Tire Management and Recycling Fees	4,466	4,497	31	0.7
Returnable Container Deposits	24,565	29,053	4,488	18.3
Tax Return Preparer Registration Fees	-46	1	47	102.2
Racing Admissions	2	3	1	50.0
Boxing and Wrestling Exhibitions	171	26	-145	-84.8
Total - State Taxes and Fees 2/, 5/	\$6,104,036	\$6,837,514	\$733,478	12.0

1/ See page 2 for component detail.

Personal Income Tax	Net Collections		Difference	
	June 2010	June 2011	Amount	Percent
Withholding	\$2,324,687	\$2,430,259	\$105,572	4.5
Estimated Tax	1,360,251	1,609,621	249,370	18.3
Final Payments	24,065	26,507	2,442	10.1
Delinquencies	99,980	101,814	1,834	1.8
Limited Liability Company Fees	242	236	-6	-2.5
Total Gross Collections	\$3,809,225	\$4,168,437	\$359,212	9.4
Refunds/Minor Offsets	258,251	168,347	-89,904	-34.8
State/City Offsets	2,572	-2,731	-5,303	-206.2
Net Collections	\$3,548,402	\$4,002,821	\$454,419	12.8
Other Component Detail				
Cigarette/Tobacco Products	\$140,448	\$148,443	\$7,995	5.7
Cigarette	132,504	139,147	6,643	5.0
Tobacco Products	8,084	9,131	1,047	13.0
Retailer Licenses	-139	165	304	218.7
Vending Machine Stickers	-1	0	1	100.0
Highway/Fuel Use	\$11,219	\$8,652	-\$2,567	-22.9
Highway Use	7,600	6,929	-671	-8.8
Truck Mileage	7,358	6,529	-829	-11.3
Permits	242	400	158	65.3
Fuel Use	3,619	1,723	-1,896	-52.4
Article 21	0	-6	-6	NA
Article 21A IFTA	3,607	1,718	-1,889	-52.4
IFTA Decal Fees & Trip Permits	12	11	-1	-8.3
Corporation & Utilities 6/	\$149,033	\$147,374	-\$1,659	-1.1
Article 9 (excl sec 180, 186-A, A&E, PSC, & 186-F)	7,034	13,297	6,263	89.0
Utilities (sections 186-A, A&E & PSC)	92,468	84,597	-7,871	-8.5
Public Safety Communications Surcharge (\$186-F)	49,531	49,480	-51	-0.1
Petroleum	\$91,806	\$95,255	\$3,449	3.8
Article 13-A (prior to 9/1/1990)	-1	0	1	100.0
Article 13-A (after 9/1/1990)	91,807	95,254	3,447	3.8
Article 9, Section 182-A	0	0	0	NA
Lubricating Oils (repealed 9/1/1994)	0	1	1	NA

Local Taxes	Net Collections		Difference	
	June 2010	June 2011	Amount	Percent
M.T.A. Corporate Surcharge	\$147,901	\$190,099	\$42,198	28.5
M.T.A. Auto Rental	7,312	8,560	1,248	17.1
M.C.T. Mobility	80,502	86,194	5,692	7.1
M.C.T.D. Medallion Taxicab Rides	113	373	260	230.1
New York City Alcoholic Beverage	2,414	1,978	-436	-18.1
New York City Motor Fuel	0	0	0	NA
New York City Personal Income	644,452	747,356	102,904	16.0
Sales & Use	1,340,185	1,391,277	51,092	3.8
Stock Transfer (to NYC; all rebatable)	3,941,253	3,001,966	-939,287	-23.8
Yonkers Personal Income	2,546	3,232	686	26.9
Total-Local Taxes	\$6,166,678	\$5,431,035	-\$735,643	-11.9
Total State & Local Taxes and Fees	\$12,270,714	\$12,268,549	-\$2,165	0.0

2/ Includes:

	June 2010	June 2011
Hotel/Motel Occupancy (repealed 9/1/1994)	\$0	\$0
Containers (repealed 10/1/1998)	0	0

3/ Includes tax on informational and entertainment services (900) numbers:

	June 2010	June 2011
	\$55	\$144

4/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

5/ Includes:

	June 2010	June 2011
Real Property Gains (repealed 6/15/1996)	\$1	\$2
Gift (repealed 1/1/2000)	91	-1

6/ Includes:

	June 2010	June 2011
Wireless Communication Services Surcharges, County Law (repealed 11/1/2009)	\$0	\$0
OGS Procurement Fees (repealed 6/22/1010)	20	1



New York State Department of Taxation and Finance

FISCAL YEAR COMPARISON OF NET COLLECTIONS

Office of Tax Policy Analysis

June 2011

(in thousands)

State Taxes	Net Collections 2010-2011	Estimated Receipts 7/ 2011-2012	Net Collections			
			Fiscal Year-to-Date		Difference	
			2010-2011	2011-2012	Amount	Percent
Personal Income Tax 1/	\$36,209,216	\$39,060,100	\$8,684,821	\$10,969,492	\$2,284,671	26.3
User Taxes 2/	\$13,410,445	\$13,886,700	\$3,201,209	\$3,425,266	\$224,057	7.0
Sales and Use 3/	10,856,940	11,246,700	2,606,944	2,788,257	181,313	7.0
Motor Fuel	516,145	512,100	120,529	116,431	-4,098	-3.4
Cigarette/Tobacco Products 1/	1,617,246	1,686,000	370,247	417,225	46,978	12.7
Alcoholic Beverage	229,719	233,000	56,991	56,089	-902	-1.6
Highway/Fuel Use 1/	129,162	144,000	33,415	32,813	-602	-1.8
Auto Rental	61,233	64,900	13,083	14,451	1,368	10.5
Business Taxes	\$6,575,562	\$7,405,700	\$1,304,340	\$1,676,356	\$372,016	28.5
Corporation Franchise	2,472,217	3,047,000	512,578	701,903	189,325	36.9
Corporation & Utilities 1/	891,186	948,800	159,123	139,583	-19,540	-12.3
Banks	973,350	1,107,000	154,141	289,663	135,522	87.9
Insurance (Article 33)	1,136,629	1,197,000	215,607	279,686	64,079	29.7
Direct Writings	11,739	- - -	2,166	1,989	-177	-8.2
Petroleum 1/, 4/	1,090,441	1,106,000	260,725	263,532	2,807	1.1
Property Transfer Taxes 5/	\$1,799,346	\$1,635,000	\$413,880	\$406,368	-\$7,512	-1.8
Estate	1,218,068	1,015,000	274,460	269,728	-4,732	-1.7
Real Estate Transfer	580,101	620,000	139,290	136,609	-2,681	-1.9
Other Revenues 6/	\$163,009	\$158,800	\$36,821	\$39,501	\$2,680	7.3
Pari-Mutuel	17,039	14,000	4,320	3,900	-420	-9.7
Hazardous Waste Assessments	2,719	2,700	506	466	-40	-7.9
Waste Tire Management and Recycling Fees	25,052	25,100	6,441	6,201	-240	-3.7
Returnable Container Deposits	115,218	115,200	24,924	29,112	4,188	16.8
Tax Return Preparer Registration Fees	1,072	1,100	-202	-268	-66	-32.7
Racing Admissions	352	350	7	6	-1	-14.3
Boxing and Wrestling Exhibitions	361	350	191	67	-124	-64.9
Total - State Taxes and Fees 2/, 5/	\$58,157,578	\$62,146,300	\$13,641,071	\$16,516,983	\$2,875,912	21.1

1/ See page 2 for component detail.

	Net Collections	Estimated Receipts 7/ 2011-2012	Net Collections			
			Fiscal Year-to-Date		Difference	
			2010-2011	2011-2012	Amount	Percent
Personal Income Tax						
Withholding	\$31,240,170	\$31,802,000	\$6,890,108	\$6,956,532	\$66,424	1.0
Estimated Tax	9,735,271	11,900,500	4,357,472	5,883,819	1,526,347	35.0
Final Payments	1,895,006	2,110,000	1,389,066	1,495,355	106,289	7.7
Delinquencies	1,063,321	1,089,000	284,201	344,283	60,082	21.1
Limited Liability Company Fees	68,667	- - -	3,092	2,903	-189	-6.1
Total Gross Collections	\$44,002,435	\$46,901,600	\$12,923,939	\$14,682,892	\$1,758,953	13.6
Refunds/Minor Offsets	7,693,040	7,693,500	4,212,752	3,657,251	-555,501	-13.2
State/City Offsets	100,179	148,000	26,366	56,149	29,783	113.0
Net Collections	\$36,209,216	\$39,060,100	\$8,684,821	\$10,969,492	\$2,284,671	26.3
Other Component Detail						
Cigarette/Tobacco Products	\$1,617,246	\$1,686,000	\$370,248	\$417,225	\$46,977	12.7
Cigarette	1,517,150	NA	349,467	393,788	44,321	12.7
Tobacco Products	95,860	NA	20,675	23,109	2,434	11.8
Retailer Licenses	4,047	NA	-1	325	326	32,600.0
Vending Machine Stickers	189	NA	107	3	-104	-97.2
Highway/Fuel Use	\$129,162	\$144,000	\$33,415	\$32,813	-\$602	-1.8
Highway Use	100,941	NA	25,931	26,140	209	0.8
Truck Mileage	98,125	NA	25,187	25,003	-184	-0.7
Permits	2,816	NA	744	1,137	393	52.8
Fuel Use	28,221	NA	7,484	6,673	-811	-10.8
Article 21	11	NA	0	-3	-3	NA
Article 21A IFTA	27,731	NA	7,438	6,630	-808	-10.9
IFTA Decal Fees & Trip Permits	479	NA	46	46	0	0.0
Corporation & Utilities 6/	\$891,186	\$948,800	\$159,123	\$139,583	-\$19,540	-12.3
Article 9 (excl sec 180, 186-A, A&E, PSC, & 186-F)	115,954	NA	9,993	6,469	-3,524	-35.3
Utilities (sections 186-A, A&E & PSC)	581,445	NA	99,245	83,634	-15,611	-15.7
Public Safety Communications Surcharge (§186-F)	193,787	NA	49,885	49,480	-405	-0.8
Petroleum	\$1,090,441	\$1,106,000	\$260,725	\$263,532	\$2,807	1.1
Article 13-A (prior to 9/1/1990)	-1	NA	-1	0	1	100.0
Article 13-A (after 9/1/1990)	1,090,440	NA	260,725	263,531	2,806	1.1
Article 9, Section 182-A	0	NA	0	0	0	NA
Lubricating Oils (repealed 9/1/1994)	2	NA	1	1	0	0.0

Local Taxes	Net Collections 2010-2011	Estimated Receipts 7/ 2011-2012	Net Collections			
			Fiscal Year-to-Date		Difference	
			2010-2011	2011-2012	Amount	0
M.T.A. Corporate Surcharge	\$827,753	---	\$157,270	\$236,073	\$78,803	50.1
M.T.A. Auto Rental	35,004	---	7,324	8,572	1,248	17.0
M.C.T. Mobility	1,382,059	---	336,748	342,958	6,210	1.8
M.C.T.D. Medallion Taxicab Rides	81,141	---	20,156	21,399	1,243	6.2
New York City Alcoholic Beverage	23,606	---	6,031	6,042	11	0.2
New York City Motor Fuel	0	---	0	0	0	NA
New York City Personal Income	7,024,339	---	1,565,842	2,247,047	681,205	43.5
Sales & Use	13,170,795	---	3,227,242	3,387,717	160,475	5.0
Stock Transfer (to NYC; all rebatable)	13,837,479	---	3,941,485	3,002,089	-939,396	-23.8
Yonkers Personal Income	26,197	---	6,931	7,380	449	6.5
Total-Local Taxes	\$36,408,373	---	\$9,269,029	\$9,259,277	-\$9,752	-0.1
Total State & Local Taxes and Fees	\$94,565,951	---	\$22,910,100	\$25,776,260	\$2,866,160	12.5

2/ Includes:

	2010-2011	2011-2012
Hotel/Motel Occupancy (repealed 9/1/1994)	\$0	\$0
Containers (repealed 10/1/1998)	0	0

3/ Includes tax on informational and entertainment services (900) numbers:

	2010-2011	2011-2012
	\$57	\$144

4/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

5/ Includes:

	2010-2011	2011-2012
Real Property Gains (repealed 6/15/1996)	\$14	\$2
Gift (repealed 1/1/2000)	116	29

6/ Includes:

	2010-2011	2011-2012
Wireless Communication Services Surcharges, County Law (repealed 11/1/2009)	\$0	\$0
OGS Procurement Fees (repealed 6/22/1010)	634	17

7/ From the 2011-12 Enacted Budget, April 2011. Estimates adjusted to a collection basis. Article 13 estimate included in Corporation Franchise Tax; Cigarette License Fees and Stickers estimates included in Cigarette/Tobacco Products; Direct Writings estimate included in Insurance (Article 33); Hotel/Motel Occupancy included in User Taxes.