



New York State Department of Taxation and Finance

Office of Tax Policy Analysis

MONTHLY GROSS AND NET TAX COLLECTIONS

December 2011

(in thousands)

| State Taxes                              | December 2011 |           |             | Fiscal Year 2011-12 |             |              |
|--|---------------|-----------|-------------|---------------------|-------------|--------------|
|  | Gross         | Refunds   | Net         | Gross               | Refunds     | Net          |
| Personal Income Tax                      | \$3,854,864   | \$157,394 | \$3,697,470 | \$32,625,740        | \$5,307,901 | \$27,317,839 |
| Total Gross Collections                  | \$3,854,864   | ---       | 3,854,864   | 32,625,740          | ---         | 32,625,740   |
| Less: Refunds and Minor Offsets          | ---           | 154,898   | 154,898     | ---                 | 4,985,406   | 4,985,406    |
| Less: State/City Offsets                 | ---           | 2,496     | 2,496       | ---                 | 322,495     | 322,495      |
| Net Collections                          | ---           | ---       | 3,697,470   | ---                 | ---         | 27,317,839   |
| User Taxes 1/                            | \$1,411,823   | \$7,908   | \$1,403,915 | \$10,580,978        | \$129,403   | \$10,451,575 |
| Sales & Use 2/                           | 1,159,963     | 6,675     | 1,153,288   | 8,544,695           | 104,729     | 8,439,966    |
| Motor Fuel                               | 59,629        | 809       | 58,820      | 405,183             | 20,063      | 385,120      |
| Cigarette/Tobacco Products 3/            | 135,743       | 259       | 135,484     | 1,294,620           | 3,318       | 1,291,302    |
| Alcoholic Beverage                       | 27,110        | -1        | 27,111      | 179,058             | 115         | 178,943      |
| Highway/Fuel Use                         | 11,798        | 166       | 11,632      | 104,518             | 1,178       | 103,340      |
| Auto Rental                              | 17,580        | 0         | 17,580      | 52,904              | 0           | 52,904       |
| Business Taxes                           | \$1,390,788   | \$62,311  | \$1,328,477 | \$5,459,154         | \$742,388   | \$4,716,766  |
| Corporation Franchise                    | 528,553       | 44,891    | 483,662     | 2,320,508           | 562,082     | 1,758,426    |
| Corporation & Utilities 4/               | 215,361       | 8,808     | 206,553     | 599,413             | 26,876      | 572,537      |
| Banks                                    | 320,247       | 4,759     | 315,488     | 908,123             | 95,303      | 812,820      |
| Insurance (Article 33)                   | 218,084       | 2,372     | 215,712     | 767,597             | 21,559      | 746,038      |
| Direct Writings                          | 1,413         | 0         | 1,413       | 8,911               | 201         | 8,710        |
| Petroleum 5/                             | 107,130       | 1,481     | 105,649     | 854,602             | 36,367      | 818,235      |
| Property Transfer Taxes 6/               | \$131,355     | \$7,777   | \$123,578   | \$1,399,284         | \$55,987    | \$1,343,297  |
| Estate                                   | 83,794        | 7,537     | 76,257      | 909,929             | 55,258      | 854,671      |
| Real Estate Transfer                     | 47,502        | 70        | 47,432      | 489,124             | 556         | 488,568      |
| Other Revenues 7/                        | \$26,480      | \$53      | \$26,427    | \$115,760           | \$569       | \$115,191    |
| Pari-Mutuel (w/o Uncashed Tickets)       | 1,285         | 0         | 1,285       | 13,569              | 0           | 13,569       |
| Hazardous Waste Assessments              | 91            | 42        | 49          | 1,415               | 44          | 1,371        |
| Waste Tire Management and Recycling Fees | 4,756         | 1         | 4,755       | 17,473              | 36          | 17,437       |
| Returnable Container Deposits            | 19,609        | 10        | 19,599      | 82,054              | 488         | 81,566       |
| Tax Return Preparer Registration Fees    | 594           | 0         | 594         | 521                 | 1           | 520          |
| Racing Admissions                        | 1             | 0         | 1           | 350                 | 0           | 350          |
| Boxing and Wrestling Exhibitions         | 144           | 0         | 144         | 361                 | 0           | 361          |
| Total - State Taxes and Fees 1/, 6/      | \$6,815,310   | \$235,443 | \$6,579,867 | \$50,180,916        | \$6,236,248 | \$43,944,668 |

| Local Taxes                                      | December 2011 |           |              | Fiscal Year 2011-12 |             |              |
|--|---------------|-----------|--------------|---------------------|-------------|--------------|
|  | Gross         | Refunds   | Net          | Gross               | Refunds     | Net          |
| M.T.A. Corporate Surcharge                       | \$184,258     | \$8,399   | \$175,859    | \$675,014           | \$61,937    | \$613,077    |
| M.T.A. Auto Rental                               | 10,546        | 0         | 10,546       | 31,496              | 0           | 31,496       |
| M.C.T. Mobility                                  | 96,094        | 802       | 95,292       | 995,006             | 17,410      | 977,596      |
| M.C.T.D. Medallion Taxicab Rides                 | 1,037         | 2         | 1,035        | 65,445              | 54          | 65,391       |
| New York City Alcoholic Beverage                 | 2,557         | 0         | 2,557        | 17,545              | 0           | 17,545       |
| New York City Motor Fuel                         | 0             | 0         | 0            | 0                   | 0           | 0            |
| New York City Personal Income - Net (Adjusted)   | 704,387       | 18,624    | 685,763      | 6,607,137           | 858,725     | 5,748,412    |
| Total Gross Collections                          | 701,813       | ---       | 701,813      | 6,284,144           | ---         | 6,284,144    |
| Less: Refunds and Minor Offsets                  | ---           | 18,624    | 18,624       | ---                 | 858,725     | 858,725      |
| Plus: State/City Offsets                         | 2,574         | ---       | 2,574        | 322,993             | ---         | 322,993      |
| Sales & Use                                      | 1,483,013     | 8,068     | 1,474,945    | 10,479,205          | 126,375     | 10,352,830   |
| Stock Transfer (to NYC; all eligible for rebate) | 3,546,337     | 0         | 3,546,337    | 10,806,409          | 0           | 10,806,409   |
| Yonkers Personal Income - Net (Adjusted)         | 3,402         | 75        | 3,327        | 27,945              | 3,540       | 24,405       |
| Total Gross Collections                          | 3,480         | ---       | 3,480        | 28,443              | ---         | 28,443       |
| Less: Refunds and Minor Offsets                  | ---           | 75        | 75           | ---                 | 3,540       | 3,540        |
| Plus: State/City Offsets                         | -78           | ---       | -78          | -498                | ---         | -498         |
| Total-Local Taxes                                | \$6,031,631   | \$35,970  | \$5,995,661  | \$29,705,202        | \$1,068,041 | \$28,637,161 |
| Total State & Local Taxes and Fees 1/            | \$12,846,941  | \$271,413 | \$12,575,528 | \$79,886,118        | \$7,304,289 | \$72,581,829 |

1/ Includes:

|   | December 2011 | Fiscal Year 2011-12 |
|---|---------------|---------------------|
| Hotel/Motel Occupancy (repealed 9/1/94) | \$0           | \$0                 |
| Containers (repealed 10/1/1998)         | 0             | 0                   |

2/ Includes tax on informational and entertainment services (900 numbers):

|  | December 2011 | Fiscal Year 2011-12 |
|--|---------------|---------------------|
|  | -\$8          | \$249               |

3/ Includes CigaretteRetailer License and Vending Machine Sticker Fees.

4/ Includes section 186-F public safety communications surcharge:

|  | December 2011 | Fiscal Year 2011-12 |
|--|---------------|---------------------|
|  | \$48,377      | \$146,700           |

5/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

6/ Includes:

|  | December 2011 | Fiscal Year 2011-12 |
|--|---------------|---------------------|
| Real Property Gains (repealed 6/15/1996) | \$4           | \$9                 |
| Gift (repealed 1/1/2000)                 | -115          | 49                  |

7/ Includes:

|  | December 2011 | Fiscal Year 2011-12 |
|--|---------------|---------------------|
| Wireless Communication Services Surcharges,<br>County Law (repealed 11/1/2009) | \$0           | \$0                 |
| OGS Procurement Fees (repealed 6/22/1010)                                      | 0             | 17                  |

MONTHLY COMPARISON OF NET COLLECTIONS

December 2011

(in thousands)

| State Taxes                                | Net Collections    |                    | Difference        |             |
|--|--------------------|--------------------|-------------------|-------------|
|  | December 2010      | December 2011      | Amount            | Percent     |
| Personal Income Tax 1/                     | \$3,869,580        | \$3,697,470        | -\$172,110        | -4.4        |
| User Taxes 2/                              | \$1,411,771        | \$1,403,915        | -\$7,856          | -0.6        |
| Sales and Use 3/                           | 1,175,160          | 1,153,288          | -21,872           | -1.9        |
| Motor Fuel                                 | 42,880             | 58,820             | 15,940            | 37.2        |
| Cigarette/Tobacco Products 1/              | 146,127            | 135,484            | -10,643           | -7.3        |
| Alcoholic Beverage                         | 21,026             | 27,111             | 6,085             | 28.9        |
| Highway/Fuel Use 1/                        | 10,728             | 11,632             | 904               | 8.4         |
| Auto Rental                                | 15,850             | 17,580             | 1,730             | 10.9        |
| Business Taxes                             | \$1,319,035        | \$1,328,477        | \$9,442           | 0.7         |
| Corporation Franchise                      | 618,860            | 483,662            | -135,198          | -21.8       |
| Corporation & Utilities 1/                 | 221,981            | 206,553            | -15,428           | -7.0        |
| Banks                                      | 156,685            | 315,488            | 158,803           | 101.4       |
| Insurance (Article 33)                     | 229,409            | 215,712            | -13,697           | -6.0        |
| Direct Writings                            | 116                | 1,413              | 1,297             | 1,118.1     |
| Petroleum 1/, 4/                           | 91,984             | 105,649            | 13,665            | 14.9        |
| Property Transfer Taxes 5/                 | \$127,605          | \$123,578          | -\$4,027          | -3.2        |
| Estate                                     | 94,942             | 76,257             | -18,685           | -19.7       |
| Real Estate Transfer                       | 32,126             | 47,432             | 15,306            | 47.6        |
| Other Revenues 6/                          | \$26,195           | \$26,427           | \$232             | 0.9         |
| Pari-Mutuel                                | 783                | 1,285              | 502               | 64.1        |
| Hazardous Waste Assessments                | 96                 | 49                 | -47               | -49.0       |
| Waste Tire Management and Recycling Fees   | 5,026              | 4,755              | -271              | -5.4        |
| Returnable Container Deposits              | 19,428             | 19,599             | 171               | 0.9         |
| Tax Return Preparer Registration Fees      | 768                | 594                | -174              | -22.7       |
| Racing Admissions                          | 91                 | 1                  | -90               | -98.9       |
| Boxing and Wrestling Exhibitions           | 3                  | 144                | 141               | 4,700.0     |
| <b>Total - State Taxes and Fees 2/, 5/</b> | <b>\$6,754,186</b> | <b>\$6,579,867</b> | <b>-\$174,319</b> | <b>-2.6</b> |

1/ See page 2 for component detail.

(in thousands)

| Personal Income Tax                                | Net Collections |               | Difference |          |
|--|-----------------|---------------|------------|----------|
|  | December 2010   | December 2011 | Amount     | Percent  |
| Withholding  | \$3,122,004     | \$3,004,707   | -\$117,297 | -3.8     |
| Estimated Tax                                      | 834,204         | 731,579       | -102,625   | -12.3    |
| Final Payments                                     | 12,382          | 14,395        | 2,013      | 16.3     |
| Delinquencies                                      | 100,018         | 104,034       | 4,016      | 4.0      |
| Limited Liability Company Fees                     | 154             | 149           | -5         | -3.2     |
| Total Gross Collections                            | \$4,068,762     | \$3,854,864   | -\$213,898 | -5.3     |
| Refunds/Minor Offsets                              | 201,132         | 154,898       | -46,234    | -23.0    |
| State/City Offsets                                 | -1,950          | 2,496         | 4,446      | 228.0    |
| Net Collections                                    | \$3,869,580     | \$3,697,470   | -\$172,110 | -4.4     |
| Other Component Detail                             |                 |               |            |          |
| Cigarette/Tobacco Products                         | \$146,126       | \$135,484     | -\$10,642  | -7.3     |
| Cigarette  | 137,927         | 132,866       | -5,061     | -3.7     |
| Tobacco Products                                   | 8,087           | 7,512         | -575       | -7.1     |
| Retailer Licenses                                  | 91              | -4,905        | -4,996     | -5,490.1 |
| Vending Machine Stickers                           | 21              | 11            | -10        | -47.6    |
| Highway/Fuel Use                                   | \$10,728        | \$11,632      | \$904      | 8.4      |
| Highway Use  | 7,294           | 7,621         | 327        | 4.5      |
| Truck Mileage                                      | 7,108           | 7,417         | 309        | 4.3      |
| Permits  | 186             | 204           | 18         | 9.7      |
| Fuel Use   | 3,434           | 4,011         | 577        | 16.8     |
| Article 21   | 1               | 0             | -1         | -100.0   |
| Article 21A IFTA                                   | 3,244           | 3,827         | 583        | 18.0     |
| IFTA Decal Fees & Trip Permits                     | 189             | 184           | -5         | -2.6     |
| Corporation & Utilities 6/                         | \$221,981       | \$206,553     | -\$15,428  | -7.0     |
| Article 9 (excl sec 180, 186-A, A&E, PSC, & 186-F) | 42,932          | 46,952        | 4,020      | 9.4      |
| Utilities (sections 186-A, A&E & PSC)              | 130,691         | 111,224       | -19,467    | -14.9    |
| Public Safety Communications Surcharge (§186-F)    | 48,358          | 48,377        | 19         | 0.0      |
| Petroleum  | \$91,984        | \$105,649     | \$13,665   | 14.9     |
| Article 13-A (prior to 9/1/1990)                   | 0               | 0             | 0          | NA       |
| Article 13-A (after 9/1/1990)                      | 91,984          | 105,649       | 13,665     | 14.9     |
| Article 9, Section 182-A                           | 0               | 0             | 0          | NA       |
| Lubricating Oils (repealed 9/1/1994)               | 0               | 0             | 0          | NA       |

(in thousands)

| Local Taxes                            | Net Collections |               | Difference |          |
|--|-----------------|---------------|------------|----------|
|  | December 2010   | December 2011 | Amount     | Percent  |
| M.T.A. Corporate Surcharge             | \$162,900       | \$175,859     | \$12,959   | 8.0      |
| M.T.A. Auto Rental                     | 9,088           | 10,546        | 1,458      | 16.0     |
| M.C.T. Mobility                        | 105,132         | 95,292        | -9,840     | -9.4     |
| M.C.T.D. Medallion Taxicab Rides       | -10             | 1,035         | 1,045      | 10,450.0 |
| New York City Alcoholic Beverage       | 2,089           | 2,557         | 468        | 22.4     |
| New York City Motor Fuel               | 0               | 0             | 0          | NA       |
| New York City Personal Income          | 730,284         | 685,763       | -44,521    | -6.1     |
| Sales & Use                            | 1,397,988       | 1,474,945     | 76,957     | 5.5      |
| Stock Transfer (to NYC; all rebatable) | 3,398,454       | 3,546,337     | 147,883    | 4.4      |
| Yonkers Personal Income                | 2,612           | 3,327         | 715        | 27.4     |
| Total-Local Taxes                      | \$5,808,537     | \$5,995,661   | \$187,124  | 3.2      |
| Total State & Local Taxes and Fees     | \$12,562,723    | \$12,575,528  | \$12,805   | 0.1      |

2/ Includes:

|   | December 2010 | December 2011 |
|---|---------------|---------------|
| Hotel/Motel Occupancy (repealed 9/1/1994) | \$0           | \$0           |
| Containers (repealed 10/1/1998)           | 0             | 0             |

3/ Includes tax on informational and entertainment services (900) numbers:

|  | December 2010 | December 2011 |
|--|---------------|---------------|
|  | \$55          | -\$8          |

4/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

5/ Includes:

|  | December 2010 | December 2011 |
|--|---------------|---------------|
| Real Property Gains (repealed 6/15/1996) | -\$16         | \$4           |
| Gift (repealed 1/1/2000)                 | 553           | -115          |

6/ Includes:

|  | December 2010 | December 2011 |
|--|---------------|---------------|
| Wireless Communication Services Surcharges,<br>County Law (repealed 11/1/2009) | \$0           | \$0           |
| OGS Procurement Fees (repealed 6/22/1010)                                      | 0             | 0             |



New York State Department of Taxation and Finance

Office of Tax Policy Analysis

FISCAL YEAR COMPARISON OF NET COLLECTIONS

December 2011

(in thousands)

| State Taxes                                | Net<br>Collections<br>2010-2011 | Estimated<br>Receipts 7/<br>2011-2012 | Net Collections     |                     |                    |            |
|--|---------------------------------|---------------------------------------|---------------------|---------------------|--------------------|------------|
|  |                                 |                                       | Fiscal Year-to-Date |                     | Difference         |            |
|  |                                 |                                       | 2010-2011           | 2011-2012           | Amount             | Percent    |
| Personal Income Tax 1/                     | \$36,209,216                    | \$38,884,100                          | \$24,430,899        | \$27,317,839        | \$2,886,940        | 11.8       |
| User Taxes 2/                              | \$13,410,445                    | \$13,824,200                          | \$10,092,782        | \$10,451,575        | \$358,793          | 3.6        |
| Sales and Use 3/                           | 10,856,940                      | 11,220,200                            | 8,121,648           | 8,439,966           | 318,318            | 3.9        |
| Motor Fuel                                 | 516,145                         | 504,000                               | 390,285             | 385,120             | -5,165             | -1.3       |
| Cigarette/Tobacco Products 1/              | 1,617,246                       | 1,666,000                             | 1,257,625           | 1,291,302           | 33,677             | 2.7        |
| Alcoholic Beverage                         | 229,719                         | 233,000                               | 174,891             | 178,943             | 4,052              | 2.3        |
| Highway/Fuel Use 1/                        | 129,162                         | 134,000                               | 99,903              | 103,340             | 3,437              | 3.4        |
| Auto Rental                                | 61,233                          | 67,000                                | 48,430              | 52,904              | 4,474              | 9.2        |
| Business Taxes                             | \$6,575,562                     | \$7,157,700                           | \$4,430,979         | \$4,716,766         | \$285,787          | 6.4        |
| Corporation Franchise                      | 2,472,217                       | 2,908,900                             | 1,625,436           | 1,758,426           | 132,990            | 8.2        |
| Corporation & Utilities 1/                 | 891,186                         | 908,800                               | 586,853             | 572,537             | -14,316            | -2.4       |
| Banks                                      | 973,350                         | 1,022,000                             | 681,524             | 812,820             | 131,296            | 19.3       |
| Insurance (Article 33)                     | 1,136,629                       | 1,229,000                             | 709,624             | 746,038             | 36,414             | 5.1        |
| Direct Writings                            | 11,739                          | - - -                                 | 8,898               | 8,710               | -188               | -2.1       |
| Petroleum 1/, 4/                           | 1,090,441                       | 1,089,000                             | 818,644             | 818,235             | -409               | 0.0        |
| Property Transfer Taxes 5/                 | \$1,799,346                     | \$1,695,000                           | \$1,305,676         | \$1,343,297         | \$37,621           | 2.9        |
| Estate                                     | 1,218,068                       | 1,075,000                             | 878,530             | 854,671             | -23,859            | -2.7       |
| Real Estate Transfer                       | 580,101                         | 620,000                               | 426,025             | 488,568             | 62,543             | 14.7       |
| Other Revenues 6/                          | \$163,009                       | \$147,150                             | \$130,078           | \$115,191           | -\$14,887          | -11.4      |
| Pari-Mutuel                                | 17,039                          | 16,000                                | 13,921              | 13,569              | -352               | -2.5       |
| Hazardous Waste Assessments                | 2,719                           | 2,100                                 | 1,975               | 1,371               | -604               | -30.6      |
| Waste Tire Management and Recycling Fees   | 25,052                          | 23,400                                | 19,167              | 17,437              | -1,730             | -9.0       |
| Returnable Container Deposits              | 115,218                         | 103,700                               | 92,899              | 81,566              | -11,333            | -12.2      |
| Tax Return Preparer Registration Fees      | 1,072                           | 1,200                                 | 431                 | 520                 | 89                 | 20.6       |
| Racing Admissions                          | 352                             | 400                                   | 346                 | 350                 | 4                  | 1.2        |
| Boxing and Wrestling Exhibitions           | 361                             | 350                                   | 299                 | 361                 | 62                 | 20.7       |
| <b>Total - State Taxes and Fees 2/, 5/</b> | <b>\$58,157,578</b>             | <b>\$61,708,150</b>                   | <b>\$40,390,414</b> | <b>\$43,944,668</b> | <b>\$3,554,254</b> | <b>8.8</b> |

1/ See page 2 for component detail.

(in thousands)

|  | Net<br>Collections | Estimated<br>Receipts 7/<br>2011-2012 | Net Collections     |              |             |         |
|--|--------------------|---------------------------------------|---------------------|--------------|-------------|---------|
|  |                    |                                       | Fiscal Year-to-Date |              | Difference  |         |
|  |                    |                                       | 2010-2011           | 2011-2012    | Amount      | Percent |
| <b>Personal Income Tax</b>                         |                    |                                       |                     |              |             |         |
| Withholding  | \$31,240,170       | \$31,201,900                          | \$20,681,152        | \$21,183,789 | \$502,637   | 2.4     |
| Estimated Tax                                      | 9,735,271          | 11,974,500                            | 6,990,914           | 8,768,490    | 1,777,576   | 25.4    |
| Final Payments                                     | 1,895,006          | 2,110,100                             | 1,744,045           | 1,888,797    | 144,752     | 8.3     |
| Delinquencies                                      | 1,063,321          | 1,089,000                             | 794,836             | 780,151      | -14,685     | -1.8    |
| Limited Liability Company Fees                     | 68,667             | - - -                                 | 5,007               | 4,513        | -494        | -9.9    |
| Total Gross Collections                            | \$44,002,435       | \$46,375,500                          | \$30,215,954        | \$32,625,740 | \$2,409,786 | 8.0     |
| Refunds/Minor Offsets                              | 7,693,040          | 7,193,400                             | 5,733,150           | 4,985,406    | -747,744    | -13.0   |
| State/City Offsets                                 | 100,179            | 298,000                               | 51,905              | 322,495      | 270,590     | 521.3   |
| Net Collections                                    | \$36,209,216       | \$38,884,100                          | \$24,430,899        | \$27,317,839 | \$2,886,940 | 11.8    |
| <b>Other Component Detail</b>                      |                    |                                       |                     |              |             |         |
| Cigarette/Tobacco Products                         | \$1,617,246        | \$1,666,000                           | \$1,257,625         | \$1,291,302  | \$33,677    | 2.7     |
| Cigarette  | 1,517,150          | NA                                    | 1,178,029           | 1,208,190    | 30,161      | 2.6     |
| Tobacco Products                                   | 95,860             | NA                                    | 75,646              | 82,600       | 6,954       | 9.2     |
| Retailer Licenses                                  | 4,047              | NA                                    | 3,759               | 489          | -3,270      | -87.0   |
| Vending Machine Stickers                           | 189                | NA                                    | 191                 | 23           | -168        | -88.0   |
| Highway/Fuel Use                                   | \$129,162          | \$134,000                             | \$99,903            | \$103,340    | \$3,437     | 3.4     |
| Highway Use  | 100,941            | NA                                    | 78,936              | 79,907       | 971         | 1.2     |
| Truck Mileage                                      | 98,125             | NA                                    | 76,857              | 77,422       | 565         | 0.7     |
| Permits  | 2,816              | NA                                    | 2,079               | 2,485        | 406         | 19.5    |
| Fuel Use   | 28,221             | NA                                    | 20,967              | 23,433       | 2,466       | 11.8    |
| Article 21   | 11                 | NA                                    | 7                   | -3           | -10         | -142.9  |
| Article 21A IFTA                                   | 27,731             | NA                                    | 20,630              | 23,109       | 2,479       | 12.0    |
| IFTA Decal Fees & Trip Permits                     | 479                | NA                                    | 330                 | 327          | -3          | -0.9    |
| Corporation & Utilities 6/                         | \$891,186          | \$908,800                             | \$586,853           | \$572,537    | -\$14,316   | -2.4    |
| Article 9 (excl sec 180, 186-A, A&E, PSC, & 186-F) | 115,954            | NA                                    | 72,469              | 85,762       | 13,293      | 18.3    |
| Utilities (sections 186-A, A&E & PSC)              | 581,445            | NA                                    | 368,679             | 340,075      | -28,604     | -7.8    |
| Public Safety Communications Surcharge (§186-F)    | 193,787            | NA                                    | 145,705             | 146,700      | 995         | 0.7     |
| Petroleum  | \$1,090,441        | \$1,089,000                           | \$818,644           | \$818,235    | -\$409      | 0.0     |
| Article 13-A (prior to 9/1/1990)                   | -1                 | NA                                    | -1                  | 0            | 1           | 100.0   |
| Article 13-A (after 9/1/1990)                      | 1,090,440          | NA                                    | 818,644             | 818,234      | -410        | -0.1    |
| Article 9, Section 182-A                           | 0                  | NA                                    | 0                   | 0            | 0           | NA      |
| Lubricating Oils (repealed 9/1/1994)               | 2                  | NA                                    | 1                   | 1            | 0           | 0.0     |

(in thousands)

| Local Taxes                            | Net<br>Collections | Estimated<br>Receipts 7/<br>2011-2012 | Net Collections     |              |             |           |
|--|--------------------|---------------------------------------|---------------------|--------------|-------------|-----------|
|  |                    |                                       | Fiscal Year-to-Date |              | Difference  |           |
|  |                    |                                       | 2010-2011           | 2011-2012    | 2010-2011   | 2011-2012 |
| M.T.A. Corporate Surcharge             | \$827,753          | ---                                   | \$553,383           | \$613,077    | \$59,694    | 10.8      |
| M.T.A. Auto Rental                     | 35,004             | ---                                   | 27,413              | 31,496       | 4,083       | 14.9      |
| M.C.T. Mobility                        | 1,382,059          | ---                                   | 952,120             | 977,596      | 25,476      | 2.7       |
| M.C.T.D. Medallion Taxicab Rides       | 81,141             | ---                                   | 60,902              | 65,391       | 4,489       | 7.4       |
| New York City Alcoholic Beverage       | 23,606             | ---                                   | 18,389              | 17,545       | -844        | -4.6      |
| New York City Motor Fuel               | 0                  | ---                                   | 0                   | 0            | 0           | NA        |
| New York City Personal Income          | 7,024,339          | ---                                   | 4,601,334           | 5,748,412    | 1,147,078   | 24.9      |
| Sales & Use                            | 13,170,795         | ---                                   | 9,886,373           | 10,352,830   | 466,457     | 4.7       |
| Stock Transfer (to NYC; all rebatable) | 13,837,479         | ---                                   | 10,485,531          | 10,806,409   | 320,878     | 3.1       |
| Yonkers Personal Income                | 26,197             | ---                                   | 19,785              | 24,405       | 4,620       | 23.4      |
| Total-Local Taxes                      | \$36,408,373       | ---                                   | \$26,605,230        | \$28,637,161 | \$2,031,931 | 7.6       |
| Total State & Local Taxes and Fees     | \$94,565,951       | ---                                   | \$66,995,644        | \$72,581,829 | \$5,586,185 | 8.3       |

2/ Includes:

|   | 2010-2011 | 2011-2012 |
|---|-----------|-----------|
| Hotel/Motel Occupancy (repealed 9/1/1994) | \$0       | \$0       |
| Containers (repealed 10/1/1998)           | 0         | 0         |

3/ Includes tax on informational and entertainment services (900) numbers:

|  | 2010-2011 | 2011-2012 |
|--|-----------|-----------|
|  | \$157     | \$249     |

4/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

5/ Includes:

|  | 2010-2011 | 2011-2012 |
|--|-----------|-----------|
| Real Property Gains (repealed 6/15/1996) | \$1       | \$9       |
| Gift (repealed 1/1/2000)                 | 1,120     | 49        |

6/ Includes:

|  | 2010-2011 | 2011-2012 |
|--|-----------|-----------|
| Wireless Communication Services Surcharges,<br>County Law (repealed 11/1/2009) | \$0       | \$0       |
| OGS Procurement Fees (repealed 6/22/1010)                                      | 1,040     | 17        |

7/ From the Mid-Year Financial Update, November 2011. Estimates adjusted to a collection basis. Article 13 estimate included in Corporation Franchise Tax; Cigarette License Fees and Stickers estimates included in Cigarette/Tobacco Products; Direct Writings estimate included in Insurance (Article 33); Hotel/Motel Occupancy included in User Taxes.