

February 2001

(in thousands)

State Taxes	February 2001			Fiscal Year 2000-01		
	Gross	Refunds	Net	Gross	Refunds	Net
Personal Income Tax-net (Adj)	\$2,384,294	\$317,293	\$2,067,001	\$32,204,303	\$2,925,717	\$29,278,586
Total Gross Collections	2,384,294	---	2,384,294	28,237,329	---	28,237,329
Less: Refunds and Minor Offsets	---	315,625	315,625	---	2,760,296	2,760,296
Less: State/City Offsets	---	1,668	1,668	---	165,421	165,421
Net Collections, before Refund Reserve	---	---	2,067,001	---	---	25,311,612
Refund Reserve Transactions	---	---	---	3,966,974	0	3,966,974
User Taxes 1/	\$646,978	\$4,968	\$642,010	\$9,399,256	\$73,098	\$9,326,158
Sales & Use 2/	515,908	3,491	512,417	7,609,772	50,029	7,559,743
Motor Fuel	40,077	533	39,544	480,688	13,013	467,675
Cigarette/Tobacco Products 3/	69,883	809	69,074	962,593	8,153	954,440
Alcoholic Beverage	9,985	0	9,985	168,359	41	168,318
Highway/Fuel Use	11,114	135	10,979	145,160	1,862	143,298
Containers	0	0	0	7	0	7
Auto Rental	11	0	11	32,668	0	32,668
Business Taxes	\$162,682	\$62,155	\$100,527	\$4,736,949	\$634,890	\$4,102,059
Corporation Franchise	54,540	40,445	14,095	2,215,214	440,852	1,774,362
Corporation & Utilities	8,281	9,967	(1,686)	665,063	27,693	637,370
Banks	5,224	4,780	444	516,020	93,224	422,796
Insurance (Article 33)	11,395	6,798	4,597	437,235	59,686	377,549
Direct Writings	168	0	168	2,582	5	2,577
Petroleum 4/	83,074	165	82,909	900,835	13,430	887,405
Other Revenues	\$97,211	\$2,634	\$94,577	\$1,181,047	\$67,159	\$1,113,888
Real Property Gains	190	0	190	7,114	1,001	6,113
Estate & Gift	71,841	2,634	69,207	784,213	61,998	722,215
Real Estate Transfer	22,946	0	22,946	358,634	353	358,281
Pari-Mutuel (w/o Uncashed Tickets)	2,205	0	2,205	30,409	3,807	26,602
Other (Racing Admissions & Boxing)	29	0	29	677	0	677
Total - State Taxes 1/	\$3,291,165	\$387,050	\$2,904,115	\$47,521,555	\$3,700,864	\$43,820,691

Local Taxes	February 2001			Fiscal Year 2000-01		
	Gross	Refunds	Net	Gross	Refunds	Net
M.T.A. Corporate Surcharge	\$5,629	\$6,516	(\$887)	\$486,276	\$56,149	\$430,127
New York City Alcoholic Beverage	1,356	3	1,353	20,146	31	20,115
New York City Motor Fuel	0	0	0	0	0	0
New York City Personal Income - Net (Adjusted)	502,073	39,314	462,759	6,007,842	673,287	5,334,555
Total Gross Collections	500,424	---	500,424	5,843,043	---	5,843,043
Less: Refunds and Minor Offsets	---	39,314	39,314	---	673,287	673,287
Plus: State/City Offsets	1,649	---	1,649	164,799	---	164,799
Sales & Use (Includes M.A.C.)	543,446	3,704	539,742	8,046,901	52,003	7,994,898
Stock Transfer (to NYC; all eligible for rebate)	21	0	21	5,241,438	0	5,241,438
Yonkers Personal Income - Net (Adjusted)	972	191	781	16,036	3,212	12,824
Total Gross Collections	953	---	953	15,414	---	15,414
Less: Refunds and Minor Offsets	---	191	191	---	3,212	3,212
Plus: State/City Offsets	19	---	19	622	---	622
Total-Local Taxes	\$1,053,497	\$49,728	\$1,003,769	\$19,818,639	\$784,682	\$19,033,957
Total State & Local Taxes 1/	\$4,344,662	\$436,778	\$3,907,884	\$67,340,194	\$4,485,546	\$62,854,648

0

1/ Includes Hotel/Motel Occupancy (repealed 9/1/94):

February 2001	Fiscal Year 2000-01
\$0	\$9

2/ Includes tax on informational and entertainment services (900 numbers):

February 2001	Fiscal Year 2000-01
\$0	\$1,552

3/ Includes Cigarette License and Sticker Fees.

4/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

February 2001

(in thousands)

State Taxes	Net Collections		Difference	
	February 2000	February 2001	Amount	Percent
Personal Income Tax 1/	\$1,934,424	\$2,067,001	\$132,577	6.9
User Taxes 2/	\$618,865	\$642,010	\$23,145	3.7
Sales and Use 3/	511,705	512,417	712	0.1
Motor Fuel	31,858	39,544	7,686	24.1
Cigarette/Tobacco Products 4/	52,550	69,074	16,524	31.4
Alcoholic Beverage	8,809	9,985	1,176	13.3
Highway/Fuel Use 1/	13,779	10,979	(2,800)	(20.3)
Containers (repealed 10/1/98)	0	0	0	NA
Auto Rental	164	11	(153)	(93.3)
Business Taxes	\$92,465	\$100,527	\$8,062	8.7
Corporation Franchise	88	14,095	14,007	15,917.0
Corporation & Utilities 1/	9,876	(1,686)	(11,562)	(117.1)
Banks	19,997	444	(19,553)	(97.8)
Insurance (Article 33)	2,009	4,597	2,588	128.8
Direct Writings	292	168	(124)	(42.5)
Petroleum 1/, 5/	60,203	82,909	22,706	37.7
Other Revenues	\$111,535	\$94,577	(\$16,958)	(15.2)
Real Property Gains (repealed 6/15/96)	(185)	190	375	202.7
Estate & Gift 1/	79,547	69,207	(10,340)	(13.0)
Real Estate Transfer	30,088	22,946	(7,142)	(23.7)
Pari-Mutuel	2,011	2,205	194	9.6
Other (Racing Adms & Boxing)	74	29	(45)	(60.8)
Total - State Taxes 2/	\$2,757,289	\$2,904,115	\$146,826	5.3

1/ See page 2 for component detail.

(in thousands)

Personal Income Tax	Net Collections		Difference	
	February 2000	February 2001	Amount	Percent
Withholding	\$2,010,752	\$2,299,129	\$288,377	14.3
Estimated Tax	9,265	22,784	13,519	145.9
Final Payments 6/	14,920	18,891	3,971	26.6
Delinquencies	34,431	42,662	8,231	23.9
Limited Liability Company Fees	892	828	(64)	(7.2)
Total Gross Collections	\$2,070,260	\$2,384,294	\$314,034	15.2
Refunds/Minor Offsets	133,951	315,625	181,674	135.6
State/City Offsets	1,885	1,668	(217)	(11.5)
Net, before Refund Reserve	\$1,934,424	\$2,067,001	\$132,577	6.9
Refund Reserve Transactions	0	0	0	NA
Net, after Refund Reserve	\$1,934,424	\$2,067,001	\$132,577	6.9
Other Component Detail				
Highway/Fuel Use	\$13,779	\$10,979	(\$2,800)	(20.3)
Highway Use	13,369	10,310	(3,059)	(22.9)
Truck Mileage	12,452	10,215	(2,237)	(18.0)
Permits	917	95	(822)	(89.6)
Fuel Use	410	669	259	63.2
Article 21	6	18	12	200.0
Article 21A IFTA	377	629	252	66.8
IFTA Decal Fees & Trip Permits	27	22	(5)	(18.5)
Corporation & Utilities 7/	\$9,876	(\$1,686)	(\$11,562)	(117.1)
Article 9 (excl sec. 180, 186-A, A&E & P)	(2,570)	282	2,852	111.0
Utilities (section 186-A, A&E & P)	12,446	(1,968)	(14,414)	(115.8)
Petroleum	\$60,203	\$82,909	\$22,706	37.7
Article 13-A (prior to 9/1/90)	7	7	0	0.0
Article 13-A (after 9/1/90)	60,196	82,901	22,705	37.7
Article 9, Section 182-A	0	0	0	NA
Lubricating Oils (repealed 9/1/94)	0	1	1	NA
Estate & Gift	\$79,547	\$69,207	(\$10,340)	(13.0)
Estate	79,226	55,066	(24,160)	(30.5)
Gift	321	14,141	13,820	4,305.3

Local Taxes	Net Collections		Difference	
	February 2000	February 2001	Amount	Percent
M.T.A. Corporate Surcharge	\$7,739	(\$887)	(\$8,626)	(111.5)
New York City Alcoholic Beverage	1,094	1,353	259	23.7
New York City Motor Fuel	0	0	0	NA
New York City Personal Income	448,714	462,759	14,045	3.1
Sales & Use (Includes M.A.C.)	532,548	539,742	7,194	1.4
Stock Transfer (to NYC; all rebatable)	32	21	(11)	(34.4)
Yonkers Personal Income	1,073	781	(292)	(27.2)
Total-Local Taxes	\$991,200	\$1,003,769	\$12,569	1.3
Total State & Local Taxes	\$3,748,489	\$3,907,884	\$159,395	4.3

2/ Includes Hotel/Motel Occupancy (repealed 9/1/94):

February 2000	February 2001
\$0	\$0

3/ Includes tax on informational and entertainment services (900) numbers:

February 2000	February 2001
(\$1)	\$0

4/ Includes Cigarette License and Sticker Fees.

5/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

6/ Includes final payments from electronically filed returns for the current fiscal year, formerly included in Estimated Tax.

February 2000	February 2001
\$1,152	\$2,131

7/ Reflects adjustments to prior periods posted during February 2001.

February 2001

(in thousands)

State Taxes	Net Collections	Estimated Receipts 8/ 2000-2001	Net Collections			
			Year-to-Date		Difference	
			1999-2000	2000-2001	Amount	Percent
Personal Income Tax 1/	\$21,533,218	\$27,764,000	\$23,982,699	\$29,278,586	\$5,295,887	22.1
User Taxes 2/	\$9,770,055	\$9,820,600	\$8,781,404	\$9,326,158	\$544,750	6.2
Sales and Use 3/	8,214,883	7,961,900	7,361,313	7,559,743	198,430	2.7
Motor Fuel	518,773	527,200	472,705	467,675	(5,030)	(1.1)
Cigarette/Tobacco Products 4/	671,653	961,000	613,111	954,440	341,329	55.7
Alcoholic Beverage	177,044	176,000	163,796	168,318	4,522	2.8
Highway/Fuel Use 1/	150,226	152,500	139,251	143,298	4,047	2.9
Containers (repealed 10/1/98)	6	---	6	7	1	16.7
Auto Rental	37,465	42,000	31,217	32,668	1,451	4.6
Business Taxes	\$5,544,609	\$5,198,100	\$4,246,582	\$4,102,059	(\$144,523)	(3.4)
Corporation Franchise	1,938,616	2,150,000	1,352,273	1,774,362	422,089	31.2
Corporation & Utilities 1/	1,485,692	910,000	1,093,754	637,370	(456,384)	(41.7)
Banks	525,509	540,000	453,423	422,796	(30,627)	(6.8)
Insurance (Article 33)	586,941	601,000	421,199	377,549	(43,650)	(10.4)
Direct Writings	2,920	---	2,786	2,577	(209)	(7.5)
Petroleum 1/, 5/	1,004,931	997,100	923,147	887,405	(35,742)	(3.9)
Other Revenues	\$1,447,629	\$1,099,000	\$1,295,145	\$1,113,888	(\$181,257)	(14.0)
Real Property Gains (repealed 6/15/96)	14,824	4,000	14,610	6,113	(8,497)	(58.2)
Estate & Gift 1/	1,054,669	728,000	936,644	722,215	(214,429)	(22.9)
Real Estate Transfer	340,230	333,000	309,072	358,281	49,209	15.9
Pari-Mutuel	36,369	33,000	33,315	26,602	(6,713)	(20.2)
Other (Racing Adms & Boxing)	1,537	1,000	1,504	677	(827)	(55.0)
Total - State Taxes 2/	\$38,295,511	\$43,881,700	\$38,305,830	\$43,820,691	\$5,514,857	14.4

1/ See page 2 for component detail.

(in thousands)

	Net Collections	Estimated Receipts 8/ 2000-2001	Net Collections			
			Year-to-Date		Difference	
			1999-2000	2000-2001	Amount	Percent
Personal Income Tax						
Withholding	\$18,460,535	\$19,386,000	\$16,710,406	\$19,270,745	\$2,560,339	15.3
Estimated Tax	5,875,275	6,258,000	5,843,648	6,844,198	1,000,550	17.1
Final Payments 6/	1,371,233	1,510,000	1,291,330	1,572,129	280,799	21.7
Delinquencies	511,835	510,000	475,955	533,535	57,580	12.1
Limited Liability Company Fees	16,680	---	13,388	16,722	3,334	24.9
Total Gross Collections	\$26,235,558	\$27,664,000	\$24,334,727	\$28,237,329	\$3,902,602	16.0
Refunds/Minor Offsets	2,716,539	2,970,000	2,338,282	2,760,296	422,014	18.0
State/City Offsets	324,738	330,000	319,657	165,421	(154,236)	(48.3)
Net, before Refund Reserve	\$23,194,281	\$24,364,000	\$21,676,788	\$25,311,612	\$3,634,824	16.8
Refund Reserve Transactions	(1,661,063)	3,400,000	2,305,911	3,966,974	1,661,063	72.0
Net, after Refund Reserve	\$21,533,218	\$27,764,000	\$23,982,699	\$29,278,586	\$5,295,887	22.1
Other Component Detail						
Highway/Fuel Use	\$150,226	\$152,500	\$139,251	\$143,298	\$4,047	2.9
Highway Use	126,548	NA	119,282	119,407	125	0.1
Truck Mileage	119,132	NA	112,656	116,379	3,723	3.3
Permits	7,416	NA	6,626	3,028	(3,598)	(54.3)
Fuel Use	23,678	NA	19,969	23,891	3,922	19.6
Article 21	892	NA	811	515	(296)	(36.5)
Article 21A IFTA	22,306	NA	18,700	22,915	4,215	22.5
IFTA Decal Fees & Trip Permits	480	NA	458	461	3	0.7
Corporation & Utilities 7/	\$1,485,692	\$910,000	\$1,093,754	\$637,370	(\$456,384)	(41.7)
Article 9 (excl sec. 180, 186-A, A&E & P)	359,323	NA	246,754	60,643	(186,111)	(75.4)
Utilities (section 186-A, A&E & P)	1,126,369	NA	847,000	576,727	(270,273)	(31.9)
Petroleum	\$1,004,931	\$997,100	\$923,147	\$887,405	(\$35,742)	(3.9)
Article 13-A (prior to 9/1/90)	149	NA	143	54	(89)	(62.2)
Article 13-A (after 9/1/90)	1,004,712	NA	922,935	887,343	(35,592)	(3.9)
Article 9, Section 182-A	59	NA	59	(1)	(60)	(101.7)
Lubricating Oils (repealed 9/1/94)	11	NA	10	9	(1)	(10.0)
Estate & Gift	\$1,054,669	\$728,000	\$936,644	\$722,215	(\$214,429)	(22.9)
Estate	975,172	NA	858,343	680,913	(177,430)	(20.7)
Gift	79,497	NA	78,301	41,302	(36,999)	(47.3)

(in thousands)

Local Taxes	Net Collections	Estimated Receipts 8/ 2000-2001	Net Collections			
			Year-to-Date		Difference	
			1999-2000	2000-2001	Amount	Percent
M.T.A. Corporate Surcharge	\$586,807	---	\$426,478	\$430,127	\$3,649	0.9
New York City Alcoholic Beverage	21,309	---	19,791	20,115	324	1.6
New York City Motor Fuel	0	---	0	0	0	NA
New York City Personal Income	5,638,883	---	5,274,157	5,334,555	60,398	1.1
Sales & Use (Includes M.A.C.)	8,399,323	---	7,531,173	7,994,898	463,725	6.2
Stock Transfer (to NYC; all rebatable)	7,494,936	---	5,437,657	5,241,438	(196,219)	(3.6)
Yonkers Personal Income	21,612	---	20,264	12,824	(7,440)	(36.7)
Total-Local Taxes	\$22,162,870	---	\$18,709,520	\$19,033,957	\$324,437	1.7
Total State & Local Taxes	\$60,458,381	---	\$57,015,350	\$62,854,648	\$5,839,294	10.2

2/ Includes Hotel/Motel Occupancy (repealed 9/1/94):

1999-2000	2000-2001
\$5	\$9

3/ Includes tax on informational and entertainment services (900) numbers:

1999-2000	2000-2001
(\$369)	\$1,552

4/ Includes Cigarette License and Sticker Fees.

5/ Includes Article 13-A Petroleum Business Tax, Lubricating Oils Tax (repealed 9/1/94), former Article 13-A Gross Receipts Tax, and former Article 9, Section 182-A.

6/ Includes final payments from electronically filed returns for the current fiscal year, formerly included in Estimated Tax.

1999-2000	2000-2001
\$36,554	\$55,309

7/ Reflects adjustments to prior periods posted during February 2001.

8/ From the Budget Forecast, May 2000. Estimates adjusted to a collection basis. Article 13 estimate included in Corporation Franchise Tax; Cigarette License Fees and Stickers estimates included in Cigarette/Tobacco Products; Direct Writings estimate included in Insurance (Article 33); Hotel/Motel Occupancy included in User Taxes.